

# Regular Expressions with Counting: Weak versus Strong Determinism

Wouter Gelade<sup>a</sup>

Marc Gyssens<sup>a</sup>

Wim Martens<sup>b</sup>

<sup>a</sup> Hasselt University, Belgium

<sup>b</sup> TU Dortmund, Germany

# Introduction

## Regular Expressions

Regular Expressions are used in a wide array of applications  
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 $rs$ ,  $r + s$ ,  $r?$ , and  $r^*$  are in  $\text{REG}(\Sigma)$

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## Counting is used in, e.g.,...

- XML Schema
- egrep
- Perl patterns

## 1 Determinism in Regular Expressions

## 2 The Situation Without Counting

## 3 Results

- Expressive Power
- Succinctness
- Expressions versus Automata
- Complexity Results

## 4 Concluding Remarks

# Deterministic Regular Expressions

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- Document Type Definitions (DTD)
- SGML
- XML Schema

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## Notation

- $\text{DET}_S(\Sigma)$ : strongly deterministic  $\text{REG}(\Sigma)$
- $\text{DET}_W(\Sigma)$ : weakly deterministic  $\text{REG}(\Sigma)$

# Weak / Strong Determinism with Counting

Weak: “When matching a string from left to right,  
it’s always clear **which position in the expression** to match next”

## Example

- $(b?a^{2,3})^{3,3}b$  is not weakly deterministic (witness: *aaaaaab...*)
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## Example

- $(a^{1,2})^{3,4}$  is weakly deterministic, but not strongly deterministic
- $(a^{2,2})^{3,4}$  is weakly and strongly deterministic

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**Do we want weak or strong determinism?**

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# What you need to know about $REG(\Sigma)$

Theorem (Implicit in Brüggmann-Klein, 1993; Brügg.-Klein, Wood, 1998)

*Expressive power in a picture:*

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Theorem (Implicit in Brüggmann-Klein, 1993)

*Every weakly deterministic expression can be made strongly deterministic in linear time*

# What you need to know

Brüggemann-Klein and Wood, 1998

Testing weak determinism of an expression is easy ( $\mathcal{O}(n^2)$ )

Core operation: Glushkov( $r$ )

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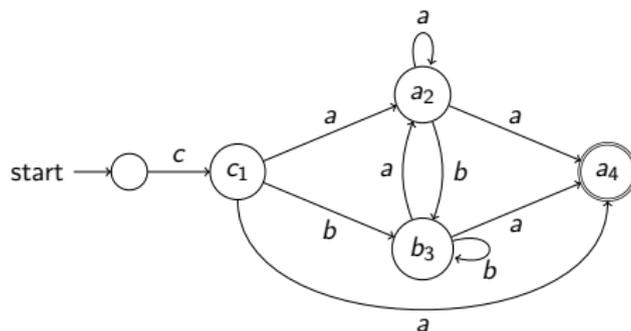
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Expression  $r$  is deterministic iff  $\text{Glushkov}(r)$  is a DFA

# Complexity of (Weakly) Deterministic Expressions

- **MEMBERSHIP:** Given string  $w$  and expression  $r$ , is  $w \in L(r)$ ?
- **INCLUSION:** Given expressions  $r_1, r_2$ , is  $L(r_1) \subseteq L(r_2)$ ?
- **INTERSECTION:** Given expressions  $r_1, \dots, r_n$ , is  $\bigcap_i L(r_i) \neq \emptyset$ ?

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## Theorem

For (weakly) deterministic expressions:

- **MEMBERSHIP** is in  $\mathcal{O}(n^2)$
- **INCLUSION**: in PTIME [Stearns, Hunt 1981]
- **INTERSECTION**: PSPACE-complete  
[Mar., Neven, Schwentick 2004]

# Questions

## The Situation for deterministic expressions. . .

	Without counting
Expressiveness	$\text{DET}_S(\Sigma) = \text{DET}_W(\Sigma) \subsetneq \text{REG}(\Sigma)$
Succinctness	$\text{DET}_S(\Sigma) \approx \text{DET}_W(\Sigma)$
Det-Test	easy (Glushkov)
$\exists$ -Det-Test	<b>EXPTIME</b>
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$$DET_S(\Sigma) = DET_W(\Sigma) = DET_S^\#(\Sigma) \subsetneq DET_W^\#(\Sigma) \subsetneq REG(\Sigma) \text{ (if } |\Sigma| > 1)$$

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## The equalities...

- $DET_W(\Sigma) = DET_S^\#(\Sigma)$  ( $|\Sigma| > 1$ )
- $DET_W(\Sigma) = DET_W^\#(\Sigma)$  ( $|\Sigma| = 1$ )

are non-trivial!

## Witness separating languages:

- $(a^{2,3}b)^*$  is in  $DET_W^\#(\Sigma)$ , but not in  $DET_W(\Sigma)$
- $(aaa)^*(a+aa)$  is in  $REG(\Sigma)$ , but not in  $DET_W(\Sigma)$

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“all strings of  $a$ s of length  $2^n + 1$  until  $2^{n+2}$ , but not of length  $2^{n+1} + 1$ ”

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## Corollary

*The above theorem holds for unary languages*

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Counter Automaton:  $(Q, q_0, C, \delta, F, \tau)$

Ingredients:

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## Remark

These are very similar to [McQueen]

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## Note

Testing determinism is **PSPACE**-complete

# From Expressions to Automata

We extend the Glushkov construction to  $\text{REG}^\#(\Sigma)$   
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## Theorem

For every expression  $r \in REG^\#(\Sigma)$ ,

- $L(r) = L(Glushkov^\#(r))$ , and
- $r$  is strongly deterministic  $\Leftrightarrow Glushkov^\#(r)$  is deterministic

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# Testing Determinism

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- *Testing weak determinism for  $REG^\#(\Sigma)$  is in time  $\mathcal{O}(n^3)$   
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# Inclusion, Intersection

What should we expect? To put you in the right mood

Theorem (Gelade, Mar., Neven 2007)

- **INCLUSION** for  $REG^\#(\Sigma)$  is **EXPSPACE**-complete
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Theorem

- **INCLUSION** for  $DET_S^\#(\Sigma)$  is in **PSPACE** (from automata)
- **INTERSECTION** for  $DET_S^\#(\Sigma)$  and  $DET_W^\#(\Sigma)$  is **PSPACE**-complete
- **MEMBERSHIP** for  $DET_S^\#(\Sigma)$  is in  $\mathcal{O}(n^3)$  (from automata)

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Succinctness	$\text{DET}_S(\Sigma) \approx \text{DET}_W(\Sigma)$	strong $>_{\text{exp}}$ weak
Det-Test	easy (Glushkov)	easy (+ Glushkov <sup>#</sup> )
$\exists$ -Det-Test	<b>EXPTIME</b>	<b>2EXPTIME</b> (strong)
Membership	$\mathcal{O}(n^2)$	$\mathcal{O}(n^3)$
Complexity	<b>P</b> TIME / <b>P</b> SPACE	<b>P</b> SPACE (strong)

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  - Is it decidable whether a **regular language can be defined with a weakly deterministic expression** with counting?
  - What’s the **complexity** for, e.g., membership, inclusion testing?

Weak and strong determinism are **very different**

in expressions with counting

**Do we want weak or strong determinism?**

Thank you for listening